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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/784,150	02/20/2004	Meng-Feng Tsai	1539

004518
ROBERT W. J. USHER
PATENT AGENT
1133 BROADWAY, #1515
NEW YORK, NY 10010

CONFIRMATION NO. 5369

FORMALITIES LETTER



OC000000012673501

Date Mailed: 05/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/27/2004 HLE333 00000036 210760 10784150

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 DA
02 FC:2051 65.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Melvenet hiset

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors/applicants: Meng-Feng Tsai
Appln No: 10/784150
Filed Feb 20, 2004
Title: Adaptor Box For Mounting Fixture To Low Voltage Track

Commissioner of Patents
PO Box 1450,
Alexandria VA 22313-1450

Docket: 1539

Sir: TRANSMITTAL

In response to the Notice to File Missing Parts...mailed May 14, 2004, enclosed are:

- [1] Copy of Self addressed, stamped postcard filed attached to application papers and before stamping by PTO
- [1] Copy of Self addressed, stamped postcard returned stamped with Ser no by PTO
- [1] Self addressed, stamped postcard
- [1] Copy of Missing Parts Notice
- [1] Copy of assignment transmittal letter/cover sheet
- [1] copy of Deposit account statement for February 2004 (redacted)

In response to the Notice of Missing Parts, it is pointed out that authorization to deduct the basic fee small entity of \$385 together with the assignment fee of \$40 was given by the undersigned Feb 20, 2004 on the transmittal letter/cover sheet included/attached as part of the application papers filed Feb 20, 2004. The enclosed postcard stamped and returned by the PTO lists the assignment cover as included with the application papers filed Feb 20, 2004, acknowledging receipt thereof by the Patent Office (as do both the transmittal letter and the copy of postcard mailed to the patent office . The assignment fee of \$40 was duly deducted on Feb 27, 2004 from deposit account 210760 of the undersigned as shown on the attached redacted copy of the deposit account statement for February 2004, proving that the Patent Office received the assignment cover sheet with the authorization to deduct the basic filing fee.

07/27/2004 HLE333 00000036 210760 10784150

03 FC:2251 55.00 DA

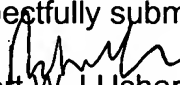
The undersigned now affirms the authorization to deduct the fee of \$385 (small entity) from the deposit account 210760 of the undersigned.

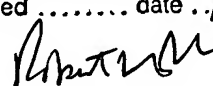
Accordingly, as the Patent Office received an authorization by the undersigned to deduct the basic filing fee of \$385 from the deposit account of the undersigned with the original papers filed February 20, 2004, the undersigned respectfully submits that, a surcharge of \$65 should not in fact be deemed as due, nor should the extension fee of \$55 be deemed due.

In the alternative, notwithstanding the submission of the undersigned that such fees are not due, authorization is given to deduct the late payment fee of \$65 and the fee of \$55 for one month extension from deposit account 210760 of the undersigned.

Please address correspondence to CUSTOMER NUMBER 004518

Respectfully submitted,


Robert W. J. Usher
(212) 633 1076
Regn 30923

I certify depositing this paper
with the U.S. Postal Service/ as
First Class/ ~~Express Mail~~ No.
addressed: Commissioner of Patents
& Trademarks, Washington, DC 20231
on 7/21/04 Signed date 7/21/04
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Va 22313



THE PATENT OFFICE ACKNOWLEDGES RECEIPT OF THE
FOLLOWING:

Attny Ref.....1539.....
APPLICANT(S).....TSAI.....
TITLE:....A.1) A.1) TNYL BOX FOR.....
Due:.....

..X Specification 18 pages
..X Claims 12.....
..X Declaration
..X Drawings Formal/Informal 4 Sheet(s)
... Informal Drawings Sheet(s)
... Check No. Amount \$.....
... Priority Document(s)
..X Assignment + Cover 22581 U.S. PTO
... Small Entity Document(s) 10/784150
+ Fm 144A



THE PATENT OFFICE ACKNOWLEDGES RECEIPT OF THE
FOLLOWING:

Attny Ref.....1539.....
APPLICANT(S).....TSAI.....
TITLE:....A.1) A.1) TNYL BOX FOR.....
Due:.....

..X Specification 18 pages
..X Claims 12.....
..X Declaration
..X Drawings Formal/Informal 4 Sheet(s)
... Informal Drawings Sheet(s)
... Check No. Amount \$.....
... Priority Document(s)
..X Assignment + Cover
... Small Entity Document(s)
+ Fm 144A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors/applicants: Meng-Feng Tsai
Title: Adaptor Box For Mounting Fixture To Low Voltage Track
Filed: Herewith
Docket: 1539

DATE: February 20, 2004

BOX ASSIGNMENTS
Commissioner of Patents
ALEXANDRIA, VA
22313-1450

Docket:1539

TRANSMITTAL LETTER/COVER SHEET

Sir:

In connection with the above-noted patent application, the following documents are enclosed:

[x] Assignment (2 pages) for recording and return to undersigned of the entire interest in the invention of the patent application.

Conveyed by Inventor:
NAMES: Meng-Feng Tsai

To Assignees:
NAME: W.A.C. LIGHTING CO
ADDRESS: 615 South Street, Garden City, New York, NY 11530


DATE OF ASSIGNMENT (EXECUTION): February 20, 2004

DATE OF EXECUTION OF PATENT APPLICATION: February 20, 2004

[x] Authorization is given to deduct the basic small entity fee of \$385 together with the assignment recordal fee of \$40. Total \$425 from deposit account 21-0760

[] Check in the amount of \$40 to cover: Assignment Recordal
[x] Self-addressed, stamped postcard for return to undersigned.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

By:  Date: Feb 20, 2004
Robert W.J. Usher
Reg. No.30923
(212) 633-1076

Return address: Robert W. J. Usher, 1133 BROADWAY, #1515, NY, NY 10010-7902



United States
Patent and
Trademark Office



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Online
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Deposit Account Statement

Requested Statement Month:	February 2004
Deposit Account Number:	210760
Name:	ROBERT W J USHER
Attention:	
Address:	1133 BROADWAU STE 1515
City:	NEW YORK CITY
State:	NY
Zip:	10010

[illegible]

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Asst: Commissioner of Patents
PO Box 1450,
Alexandria
VA 22313-1450

Docket: 1539

Sir:

Transmitted herewith for filing is the U.S. NON PROVISIONAL UTILITY patent application of:

Inventors/applicants: Meng-Feng Tsai
Title: Adaptor Box For Mounting Fixture To Low Voltage Track

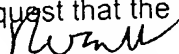
Enclosed are:

- [18] pages specification
- [4] sheets of drawings
- [] Certified copy of Priority Application No..
- [1] Inventor Declaration/Power of Attorney - to follow
- [] Small Entity Statement
- [1] Assignment for recording and return to the undersigned
- [1] Information disclosure Form 1449 (mod)
- [X] Self addressed, stamped postcard for advice of Serial No.

NON-PUBLICATION REQUEST UNDER 35 USC 122(b)(2)(B)(i)

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multinational agreement that requires publication eighteen months after filing


I hereby request that the attached application not be published under 35 USC 122(b)


Robert W. J. Usher
(Regn 30923) TEL (212 633 1076)

Feb 20 104
DATE:

Please address correspondence to CUSTOMER NUMBER 004518

Respectfully submitted,

Robert W.J. Usher

(212) 633 1076
Regn 30923
212 633 1076

Express ER 365412817 US